

# Guessing Tangents in Normal Flows

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**Abstract** Given a flow field parallel to isophote normals, a normal flow field, we seek a unobservable tangential field as the minimum of a general energy functional of the total field. We generalize existing methods to any linear, differential operator order on the combined field while keeping the projection onto the isophote normal constant. We discuss invariant flow fields, present a novel iterative solution based on Euler-Lagrange equations, prove continuous convergence, and give synthetic examples for common energy functionals. Possible uses are: estimating physical flow in image sequences, estimating human growth processes, and co-warping textures in animation sequences.

**Keywords** Optical flow · Generalized energy minimization · Euler-Lagrange · Aperture problem · Texture morphing

## 1 Introduction

Shape deformation and optical flow often relies on a normal flow. However, normal flow often has a loose relation to ‘physical’ flow, see Fig. 1. The figure shows two snapshots of a deformation of a circle into an ellipse, and the lines indicate the normals, at which a shape flow may occur. It is evident, that the local metric on the circle is not uniformly scaled, and for computer graphics application, this

implies that a texture deformed along the flow will be unevenly stretched as illustrated by the unevenly deformed texture of the Lena image. Similarly, in optical flow, the flow may only be measured normal to isophotes. For a square moving along a diagonal, such as depicted in Fig. 2(left), the observable, normal flow seems to indicate, that the box is deforming, and is even undefined at sharp corners. A typical solution both in morphing and optical flow is to select a smooth flow such as indicated at the bottom right corner of Fig. 2(right).

Normal flow is a projection of the physical flow onto the isophote normal, and in the present article, we propose to guess the tangential component by a general energy minimization formulation for any linear differential operator. The result of our work inside a squarish, soft window is demonstrated in Fig. 3. The figure shows two different vector fields, left being a vector field with one critical point as generated by the gradients near a maximum, and right being the superposition of normal flow fields of a box moving diagonally, which generates a critical edge. The first case is left unchanged by our method, and the later case results in a diagonally dominated vector field, which fits well with the presupposed box moving diagonally.

### 1.1 Related Works

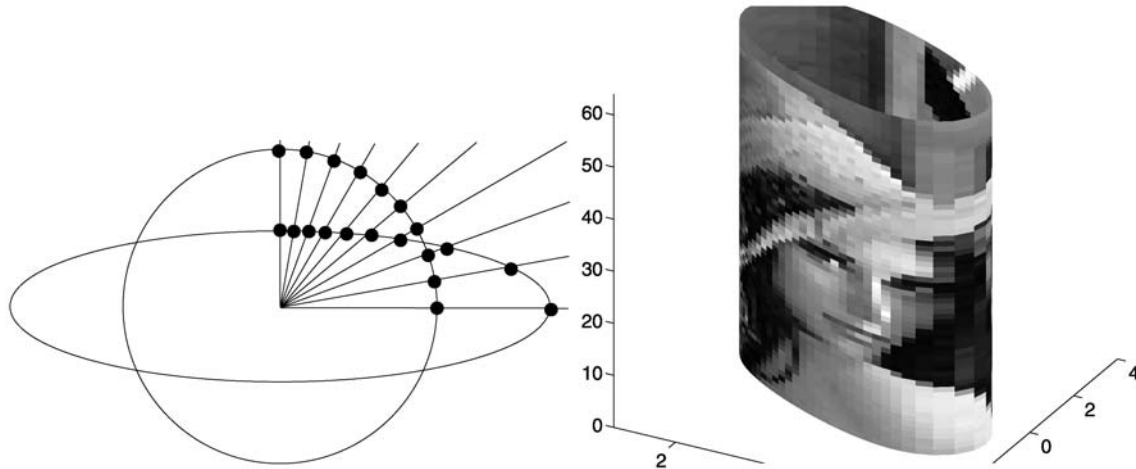
The majority of work related to deformations via flow fields fall in three categories: warping, morphing, and optical flow.

Warping has recently received a lot of attention for registration and modeling flow. In [1] is given a method for finding the simplest deformation field between two three dimensional shapes by a method of Gaussian smoothing of the components of the vector field, that deforms one object to another, followed by a projection back onto the second object. In [2], the large deformation diffeomorphisms are

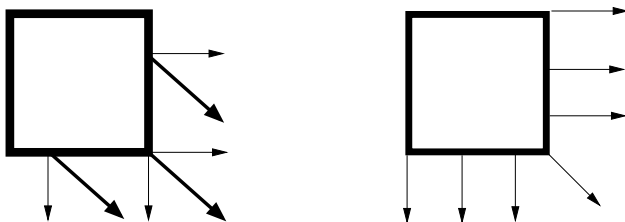
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**Fig. 1** Warping along normals stretches the curves in an uneven manner



**Fig. 2** *Left:* A black box is moved diagonally implying a diagonal, physical flow on every point (*thick arrows*). Due to the aperture problem, only the normal flow is observable (*thin arrows*). *Right:* A typical solution in Morphing and Optical flow—smooth solution

generated for landmark matching as solutions to the transport equation, which has been applied to e.g. landmark registration in medical applications [3]. The diffeomorphisms in [2] have later been interpreted as the solution to a statistical problem, [4] with application among other things to optical flow [5].

Morphing is an application in computer graphics for changing one shape into another. Many applications are based on level-set methods on signed-distance maps [6, 7]. Most noteworthy is [8], which is based on driving the source signed distance map towards the target signed distance map. This is done by walking in the normal direction of the interface with a distance proportional to the target signed distance map value. In [9] a level set approach for morphing between signed distance maps is presented. Here signed distance maps are constructed from a characteristic scan conversion algorithm, and a similarity measure is formulated as a functional. Differentiating the functional wrt. variation results in a PDE, the solution of the PDE yields the optimal similarity measure. In [10] an efficient numerical narrow-band method is presented.

Optical flow is the process of inferring the apparent motion from video sequences. The optical flow constraint is for-

mulated in [11] and forms a basis for most of the later work on optical flow. Higher order structures in the optical flow may be inferred such as e.g. the optical acceleration [12], the structure of the motion parallax [13, 14] and polynomial approximation of same [15]. Various regularization of the measure flow has presented e.g. using a Bayes framework [16]. Earlier work on tangential regularization is presented in [17, 18]. A thorough review of optical flow algorithms have been presented in [19, 20]. The most effective algorithm to date is given in [21].

A major problem when inferring the apparent motion from video sequences is the aperture problem: only motion normal to isophotes may be measured. The work presented in the present article is for inferring the underlying flow from normal flows such as optical flow.

Almost all previous work considers normal flow alone. We take an outset from the normal flows and estimate a tangential counterpart. The purpose is to co-deform textures in morphing systems, and improve the estimate of the underlying physical flow in optical flow estimation. According to Weickert and Schnörr’s taxonomy [22], our method is a homogeneous, isotropic, and image driven method with side information.

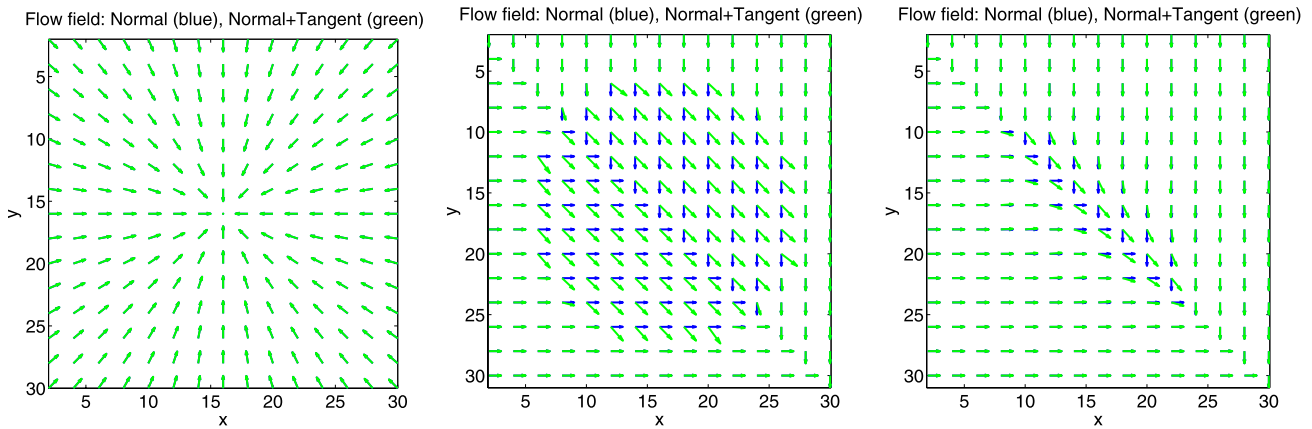
## 2 Guessing Tangent Component by Energy Minimization

We consider time varying vector fields on the form:

$$V(x, t) = T(x, t) + N(x, t), \tag{1}$$

where  $t \in \mathbb{R}_+, x \in \mathbb{R}^D, V, T, N : \mathbb{R}^D \times \mathbb{R}_+ \rightarrow \mathbb{R}^D$ , and where  $T$  and  $N$  are orthogonal vector fields,

$$\langle T(x, t), N(x, t) \rangle = 0. \tag{2}$$



**Fig. 3** (Color online) The result of our algorithm on two normal flows. The normal flow is shown in *blue* and the resulting flow by our method in *green*. *Left* is already at minimum, and *Middle* and *Right* shows the same Normal flow for a gradient type and a Laplacian type energy functional

Given  $N(x, t)$  we wish to solve for  $T(x, t)$  as the minimum of

$$\mathcal{E} = \int_{\Lambda} \int_{\Omega} \sum_{i,j} \langle \mathcal{L}_i V(x, t), W_{ij}(x) \mathcal{L}_j V(x, t) \rangle dx dt, \quad (3)$$

for some suitable domain  $\Lambda \times \Omega$ , finite number of spatial operators on the class of smooth functions,  $\mathcal{L}_i : \mathcal{C}(\mathbb{R}^D; \mathbb{R}^D) \rightarrow \mathcal{C}(\mathbb{R}^D; \mathbb{R}^D)$ , and weight matrices  $W_{ij} : \mathbb{R}^D \rightarrow \mathbb{R}^{D \times D}$ . The inner product, or squared two-norm, allows for a probabilistic interpretation [5] as a Gaussian distribution,  $\mathcal{P} = \frac{1}{Z} \exp(-\mathcal{E})$ , where  $Z$  is the normalization constant. Since  $W_{ij}$  is predefined, this is a homogeneous, isotropic, and image driven method according [22] but with  $N$  as side information.

To find the minimum of (3) as a function of the tangent vector field  $T(x, t)$ , we will use the Euler-Lagrange formulation, but for mathematical convenience we reduce the problem. Firstly, since the  $\mathcal{L}_i$ 's are independent on time, then  $\mathcal{E}$  is minimal, when

$$\mathcal{E}(t) = \int_{\Omega} \sum_{i,j} \langle \mathcal{L}_i V(x, t), W_{ij}(x) \mathcal{L}_j V(x, t) \rangle dx, \quad (4)$$

is minimized separately for each  $t \in \Lambda$ . Secondly, for simplicity we choose spatially varying but isotropic norms,

$$W_{ij}(x) = w_{ij}(x) \mathbf{1}, \quad (5)$$

where  $w_{ij} : \mathbb{R}^D \rightarrow [0, \infty)$  with  $\inf_{x \in \Omega_0} w_{ij}(x) > 0$  for every closed subset  $\Omega_0$  of the interior of  $\Omega$ , and  $\mathbf{1}$  is the identity matrix. The adjoint operator  $\cdot^\dagger$  is defined as,  $\langle v, \mathcal{L}u \rangle = \langle \mathcal{L}^\dagger v, u \rangle$ . Hence, moving the weight function inside the inner product, writing  $\mathcal{L}_i^\dagger$  as the adjoint of  $\mathcal{L}_i$ , and assuming that  $w_{ij}(x)$  is zero on the boundary of the domain, we find

$$\mathcal{E} = \int_{\Omega} \sum_{i,j} \langle \mathcal{L}_j^\dagger (w_{ij}(x) \mathcal{L}_i V(x, t)), V(x, t) \rangle dx \quad (6a)$$

$$= \int_{\Omega} \langle \mathcal{K}V(x), V(x) \rangle dx, \quad (6b)$$

where the operator  $\mathcal{K}$  is given by,

$$\mathcal{K}V(x) = \sum_{i,j} \mathcal{L}_j^\dagger (w_{ij}(x) \mathcal{L}_i V(x)). \quad (7)$$

We will in the following give 4 examples of the calculation of  $\mathcal{K}$  from  $\mathcal{L}$ .

Constant  $\mathcal{L}$ : In the simplest case,

$$\mathcal{L}_0 V(x) = cV(x), \quad (8)$$

where  $c > 0$ , the energy  $\mathcal{E}$  is given as,

$$\begin{aligned} \int_{\Omega} \langle w_{00} \mathcal{L}_0 V, \mathcal{L}_0 V \rangle dx &= \int_{\Omega} \langle w_{00} cV, cV \rangle dx \\ &= \int_{\Omega} \langle w_{00} c^2 V, V \rangle dx, \end{aligned} \quad (9)$$

corresponding to a smoothness term penalizing  $\|V\|^2$ . This is minimized by  $N$ , but for expository reasons we conclude that  $\mathcal{L}_0^\dagger = c$ , and

$$\mathcal{K}V(x) = w_{00}(x)c^2V(x). \quad (10)$$

Gradient type  $\mathcal{L}$ : For the more general case of constant and first order derivatives,

$$\mathcal{L}_0 V(x) = cV(x), \quad \mathcal{L}_i V(x) \stackrel{i=1, \dots, D}{=} \partial_i V(x), \quad (11)$$

where  $c > 0$ . Choosing  $w_{ij}(x) = 1_{i=j}w(x)$ , we find a weak string/membrane [23] smoothing term penalizing

$\|\nabla u\|^2$ , where  $c$  determines the degree of smoothing. The operator  $\mathcal{K}$  is evaluated to be,

$$\mathcal{K}V(x) = c^2w(x)V(x) - \sum_{i=1}^D \partial_i(w(x)\partial_i V(x)) \tag{12a}$$

$$= c^2w(x)V(x) - \sum_{i=1}^D \partial_i w(x) \partial_i V(x) - w(x) \sum_{i=1}^D \partial_i^2 V(x) \tag{12b}$$

$$= c^2w(x)V(x) - \langle \nabla_x w(x), \nabla_x V(x) \rangle + w(x)\Delta V(x). \tag{12c}$$

Laplacian type  $\mathcal{L}$ : For a system of constant and second order operators,

$$\mathcal{L}_0V(x) = cV(x), \quad \mathcal{L}_\Delta V(x) = \Delta V(x), \tag{13}$$

where  $c > 0$ . Choosing  $w_{ij}(x) = 1_{i=j}w(x)$ , we find a weak rod/plate [23] smoothing term penalizing  $\|\Delta V\|^2$ , where the amount of smoothing is controlled by  $c$ . To evaluate the operator  $\mathcal{K}$ , we use that the Laplace operator  $\Delta$  is self-adjoint  $\Delta = \Delta^\dagger$ , and we find,

$$\mathcal{K}V(x) = c^2w(x)V(x) + \Delta(w(x)\Delta V(x)). \tag{14}$$

Statistical invariance type  $\mathcal{L}$ : The general form of the weight matrices  $W_{ij} : \mathbb{R}^D \rightarrow \mathbb{R}^{D \times D}$  come into play, when we want to use energies related to statistical invariant velocity fields in the sense of [24]. Assume that the velocity field  $V : \mathbb{R}^D \rightarrow \mathbb{R}^D$  is a Gaussian random function with stationary increments, i.e. the distribution of  $V(x) - V(y)$  only depends on  $x, y \in \mathbb{R}^D$  via  $x - y$ . Then the results of [24] imply that

$$\begin{aligned} &\text{cov}(\partial_1^{\alpha_1} \dots \partial_D^{\alpha_D} V(x), \partial_1^{\beta_1} \dots \partial_D^{\beta_D} V(x)) \\ &= (-1)^{\sum_{i=1}^D (\alpha_i - \beta_i)} \text{var}(\partial_1^{\frac{\alpha_1 + \beta_1}{2}} \dots \partial_D^{\frac{\alpha_D + \beta_D}{2}} V(x)), \end{aligned} \tag{15}$$

if the sums  $\alpha_i + \beta_i$  of the differentiation orders are even for every  $i = 1, \dots, D$ , and that the covariance on the left hand side of (15) vanishes otherwise. If  $\mathcal{L}_\alpha$  for  $\alpha = (\alpha_1, \dots, \alpha_D)$  denotes the differential operator  $\partial_1^{\alpha_1} \dots \partial_D^{\alpha_D}$ , then the covariance structure described in (15) leads to the matrices

$$\begin{aligned} &\{W_{\alpha,\beta}(x)\}_{\alpha,\beta} \\ &= \left\{ (-1)^{\sum_{i=1}^D (\alpha_i - \beta_i)} 1_{\alpha_1 + \beta_1, \dots, \alpha_D + \beta_D \text{ are even}} \right\} \end{aligned}$$

$$\times \Gamma_{(\alpha_1 + \beta_1, \dots, \alpha_D + \beta_D)} \Big\}_{\alpha,\beta}^{-1} w(x), \tag{16}$$

where the  $\Gamma$ 's are a set of parameters and  $w(x)$  is the weight function taking care of the boundary conditions. We refer to [24] for a detailed analysis of statistical invariance properties of vector fields.

### 3 Invariance Properties

In the following we will discuss algebraic invariance of vector fields. Statistical invariance properties are discussed in an accompanying article [24].

Consider a Gaussian type window functions,

$$w^n(x) = \exp\left(-\frac{1}{2}\left(\frac{x^2 + y^2}{\sigma^2}\right)^n\right), \tag{17}$$

for some given  $n$ , and as an example consider the gradient type operator discussed above, applied to a linear vector field,

$$V(x, y) = \begin{bmatrix} a_0 + a_1x + a_2y \\ b_0 + b_1x + b_2y \end{bmatrix}. \tag{18}$$

Applying (12), we find that

$$\begin{aligned} \mathcal{K}V(x, y) &= c^2w(x, y)V(x, y) \\ &- \begin{bmatrix} a_1 & a_2 \\ b_1 & b_2 \end{bmatrix} \begin{bmatrix} w_x(x, y) \\ w_y(x, y) \end{bmatrix}. \end{aligned} \tag{19}$$

In the limit of  $c \rightarrow 0$  and  $n \rightarrow \infty$ , the operator  $\mathcal{K}V(x, y)$  will be zero except at the border of the window, where  $x^2 + y^2 = \sigma^2$ . The conclusion is that the Euler-Lagrange derivative for Gradient type operators is zero for fields of degree less than two except at the border at  $x^2 + y^2 = \sigma^2$ , where  $w(x, y)V(x, y)$  acts as border conditions, and thus  $V$  is an essential fixpoint for the gradient type energy functionals.

This result can be generalized: Any operator  $\mathcal{L} = \partial^m$ ,  $m > 0$ , will result in an operator  $\mathcal{K} = (-1)^m \partial^m (w(x)\partial^m \times V(x))$ . Thus we conclude, that for an analytical vector field  $V$  and in the limit of  $c \rightarrow 0$  and  $n \rightarrow \infty$ , the operator  $\mathcal{K}$  will be invariant to terms in  $V$  of order less than  $m^2$  except at the border of the window, where  $w(x, y)V(x, y)$  acts as a border condition.

### 4 Solving Energy by Euler-Lagrange Equations

Once a set of operators  $\mathcal{L}_i$  has been chosen, and the corresponding  $\mathcal{K}$  has been calculated, the energy formulation is ready to be minimized. Remembering (1) and (2) we

have that  $V(x, t) = T(x, t) + N(x, t)$ , and that our solution is to be found in the subspace orthogonal to  $N(x, t)$ ,  $\langle T(x, t), N(x, t) \rangle = 0$ . Thus we combine the energy and the constraint into a Lagrange equation,

$$E = \int_{\Omega} \langle \mathcal{K}V(x), V(x) \rangle dx - \int_{\Omega} \lambda(x) \langle T(x), N(x) \rangle dx, \tag{20}$$

and use the variational method to simultaneously solve,

$$\frac{\delta E}{\delta T(x)} = 0, \quad \frac{\delta E}{\delta \lambda(x)} = 0. \tag{21}$$

The first term of  $E$  contains our variable field  $T$  on both sides of the inner product. To find the solution, we may use the adjoint of  $\mathcal{K}$  satisfying  $\langle \mathcal{K}V(x), V(x) \rangle = \langle V(x), \mathcal{K}^\dagger V(x) \rangle$ , such that when we find the derivative wrt. the right  $V$  we use the left form and vice-versa. To simplify matters further,  $\mathcal{K}$  is self-adjoint, i.e.  $\mathcal{K} = \mathcal{K}^\dagger$ , which may be seen by expanding  $\mathcal{K}$  and moving terms from one side of the inner product to the other. Using the above and superscript to denote placeholders, we find the Euler-Lagrange equations (21) to be,

$$0 = \frac{\delta E}{\delta T(x)} \tag{22a}$$

$$= \frac{\delta \int_{\Omega} \langle \mathcal{K}V^1(x), V^2(x) \rangle dx}{\delta V^1(x)} \frac{\delta V^1(x)}{\delta T(x)} \Big|_{V^1=V^2=V} \tag{22b}$$

$$+ \frac{\delta \int_{\Omega} \langle \mathcal{K}V^1(x), V^2(x) \rangle dx}{\delta V^2(x)} \frac{\delta V^2(x)}{\delta T(x)} \Big|_{V^1=V^2=V}$$

$$- \frac{\delta \int_{\Omega} \lambda(x) \langle T(x), N(x) \rangle dx}{\delta T(x)} \tag{22c}$$

$$= \frac{\delta \int_{\Omega} \langle V^1(x), \mathcal{K}V^2(x) \rangle dx}{\delta V^1(x)} \Big|_{V^1=V^2=V}$$

$$+ \mathcal{K}V(x) - \lambda(x)N(x) \tag{22d}$$

$$= 2\mathcal{K}V(x) - \lambda(x)N(x). \tag{22e}$$

The conclusion is that  $\mathcal{K}V(x)$  is parallel to  $N(x)$ . Introducing an orthonormal decomposition of the tangent space as,

$$T(x) = \sum_{b=1}^{D-1} T_b(x)\mu_b(x), \tag{23}$$

where  $T_b(x) \in \mathbb{R}^D$  with  $\|T_b(x)\| = 1$ ,  $\mu_b(x) \in \mathbb{R}$ ,  $b = 1, \dots, D - 1$ , the fact that  $\mathcal{K}V(x)$  is parallel to  $N(x)$  may be used directly in the orthogonality of the tangent space to the normal (2), which gives us the following equations,

$$0 = \langle T_b(x), N(x) \rangle = \langle T_b(x), \mathcal{K}V(x) \rangle,$$

$$b = 1, \dots, D - 1. \tag{24}$$

For the gradient type examples this system of equations is an elliptical second order partial differential equations, where  $N(x) \neq 0$ , and such equations are simple to implement using standard numerical methods as described in e.g. [25].

### 5 Numerical Solution by Parabolic Equivalent

To avoid inverting large matrix systems, a standard alternative is to seek the solution to an elliptical type partial differential equation as the limit of a parabolic type differential equation by introducing an artificial time, and evaluating the result at infinity (or a very large iteration number). We adopt this general principle by introducing  $\tau$  as time, and we write the non-optimal field of  $\mu_{b,\tau}(x)$  and the non-optimal vector field  $V_\tau$  as,

$$V_\tau(x) = \sum_{b=1}^{D-1} T_b(x)\mu_{b,\tau}(x) + N(x). \tag{25}$$

The iteration-time derivative of  $V_\tau$  is given as,

$$\frac{\partial V_\tau(x)}{\partial \tau} = \sum_{b=1}^{D-1} T_b(x) \frac{\partial \mu_{b,\tau}(x)}{\partial \tau}, \tag{26}$$

and we propose to seek the solution by letting,

$$\frac{\partial \mu_{b,\tau}(x)}{\partial \tau} = -\langle T_b(x), \mathcal{K}V_\tau(x) \rangle. \tag{27}$$

The operator  $\mathcal{K}$  is said to be coercive on the subdomain  $\Omega_0 \subseteq \Omega$ , if there exists  $c > 0$ , such that  $\langle U(x), \mathcal{K}U(x) \rangle \geq c^2 \|U(x)\|^2$  for every vector field  $U(x)$  and every  $x \in \Omega_0$ . If  $\mathcal{L}_0 V(x) = cV(x)$  is included in the list of spatial operators, and  $w_{00}(x)$  only vanishes on the boundary of  $\Omega$ , then  $\mathcal{K}$  is coercive on every closed subset  $\Omega_0$  of the interior of  $\Omega$ . For such a  $\mathcal{K}$  we will now prove that (27) leads to a set of parameters  $\mu_b T_b$ , which are perpendicular to  $\mathcal{K}V(x)$ , hence a solution to (21).

*Proof* We seek  $\langle T_b(x), \mathcal{K}V(x) \rangle = 0$  using  $\frac{\partial \mu_{b,\tau}(x)}{\partial \tau} = -\langle T_b(x), \mathcal{K}V_\tau(x) \rangle$ : Let  $\Omega_0$  be a closed subset of the interior of  $\Omega$ , and choose  $c > 0$  such that  $\langle U(x), \mathcal{K}U(x) \rangle \geq c^2 \|U(x)\|^2$  for every  $x \in \Omega_0$ . We have

$$\frac{1}{2} \frac{\partial}{\partial \tau} \sum_{b=1}^{D-1} \int_{\Omega_0} \|\langle T_b(x), \mathcal{K}V_\tau(x) \rangle\|^2 dx \tag{28a}$$

$$= \sum_{b=1}^{D-1} \int_{\Omega_0} \langle T_b, \mathcal{K}V_\tau \rangle^\top \left\langle T_b, \frac{\partial \mathcal{K}V_\tau}{\partial \tau} \right\rangle dx \tag{28b}$$

$$= \int_{\Omega_0} \sum_{b=1}^{D-1} \langle T_b, \mathcal{K} V_\tau \rangle^\top \times \left\langle T_b, \mathcal{K} \left( \sum_{d=1}^{D-1} T_d \frac{\partial \mu_{d,\tau}}{\partial \tau} \right) \right\rangle dx \tag{28c}$$

$$= - \int_{\Omega_0} \left\langle \sum_{b=1}^{D-1} T_b \langle T_b, \mathcal{K} V_\tau \rangle, T_d, \mathcal{K} \left( \sum_{d=1}^{D-1} T_d \langle \mathcal{K} V_\tau \rangle \right) \right\rangle dx \tag{28d}$$

$$\leq -c^2 \sum_{b=1}^{D-1} \int_{\Omega_0} \|\langle T_b(x), \mathcal{K} V_\tau(x) \rangle\|^2 dx, \tag{28e}$$

and Grönwall’s inequality [26] gives

$$\sum_{b=1}^{D-1} \int_{\Omega_0} \|\langle T_b(x), \mathcal{K} V_\tau(x) \rangle\|^2 dx \leq \text{const.} \cdot \exp(-2\tau c^2). \tag{29}$$

We conclude that  $\langle T_b(x), \mathcal{K} V_\tau(x) \rangle \rightarrow 0$  as  $\tau \rightarrow \infty$  for every  $x$  in the interior of  $\Omega$ .  $\square$

### 6 Results on Synthetic Motion Fields

In the remainder of this article we will give examples of minimal vector fields for various prototypical normal fields and parameter settings. The order of the operator implies fixpoints in the Euler-Lagrange equations, such that first order vector fields are fixpoints for first order operators up to boundary conditions and zero order regularization and likewise for third order vector fields and second order operators. This will be demonstrated in the following for two dimensional fields, where  $(x, y)$  will denote the coordinates. As discussed in (24), the Euler-Lagrange equation is optimal when  $N(x, y)$  is parallel to  $\mathcal{K} V(x, y)$ . Hence for fixpoints we must have that

$$\mathcal{K} V(x, y) \times V(x, y) = 0. \tag{30}$$

In the following we will limit ourselves to show general vector fields which fulfills this equation by  $\mathcal{K} V(x, y) = 0$ . In our experiments we have used Gaussian type window functions,

$$w^n(x) = \exp\left(-\frac{1}{2} \left(\frac{x^2 + y^2}{\sigma^2}\right)^n\right), \tag{31}$$

for some given  $n$ . In this and the remaining examples we have used  $\sigma = M/4$  for vector fields on an  $M \times M$  domain.

### 6.1 Gradient Type

The regularized gradient type operator is defined using three independent operators,

$$\mathcal{L}_0 V(x, y) = c V(x, y), \tag{32}$$

$$\mathcal{L}_1 V(x, y) = \partial_x V(x, y), \tag{33}$$

$$\mathcal{L}_2 V(x, y) = \partial_y V(x, y), \tag{34}$$

and we have shown in (12) that

$$\mathcal{K} V(x, y) = c^2 w(x, y) V(x, y) - \langle \nabla_x w(x, y), \nabla_x V(x, y) \rangle + w(x, y) \Delta V(x, y). \tag{35}$$

The first term is due to the zeroth order regularizer, the second term as consequence of our border condition, and third term as a result of first order regularizers.

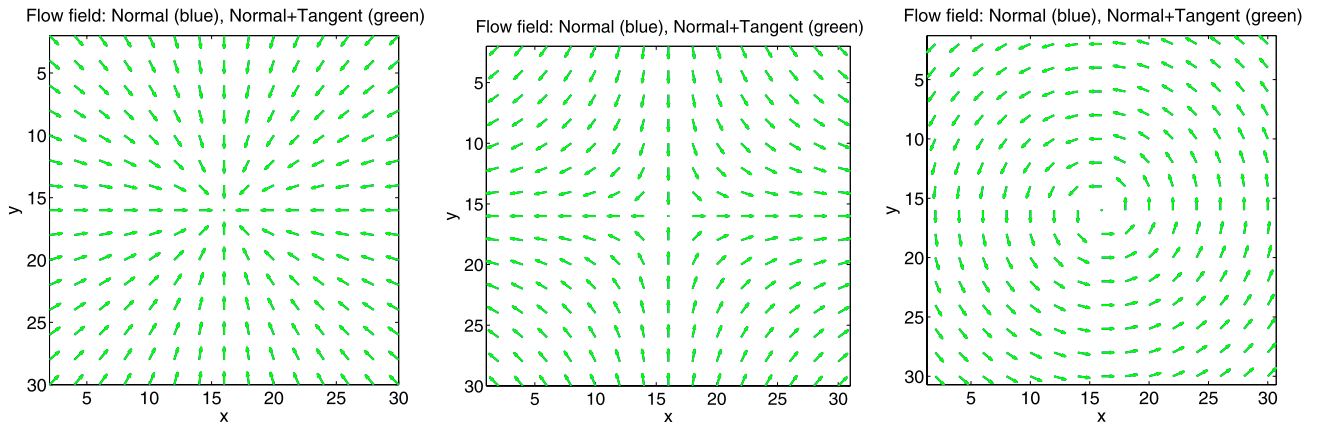
To solve the Euler-Lagrange equation by (27) we have written a program, which implements von Neumann boundary conditions at the image border, and is designed to terminate as soon as the first minimal energy value is observed. Near invariant flow fields are generated as the gradient of second degree polynomials for a source and saddle type divergent field, and by hand as a curl field. These and their solutions are shown in Fig. 4. The normal vector field and the minimal counterpart is shown in blue and green respectively, we have used a window of exponent  $n = 4$ , and regularization constant  $c = 0.01$ . The fields are observed to be essentially invariant to the gradient type functional.

A non-trivial example is shown in Fig. 5. This vector field has a singular line as produced by the superposition of the optical flow observed, when a box structure is moved diagonally down and to the right. The right images display the values of  $\mu_b$  in each pixel, and the energy plot. We conclude that the minimal energy is reached, and that the point of minimum energy coincides with the interpretation of the diagonal motion in spite that only the normal component is given.

Changing window exponent influences the focus of the method. The result of running our method on the singular line example with 3 different exponents is shown in Fig. 6. The numerical implementation does not seem to be disturbed by the fact that low order exponents results in de-facto non-zero values of the window at the border. We attribute this result to the von Neumann border conditions.

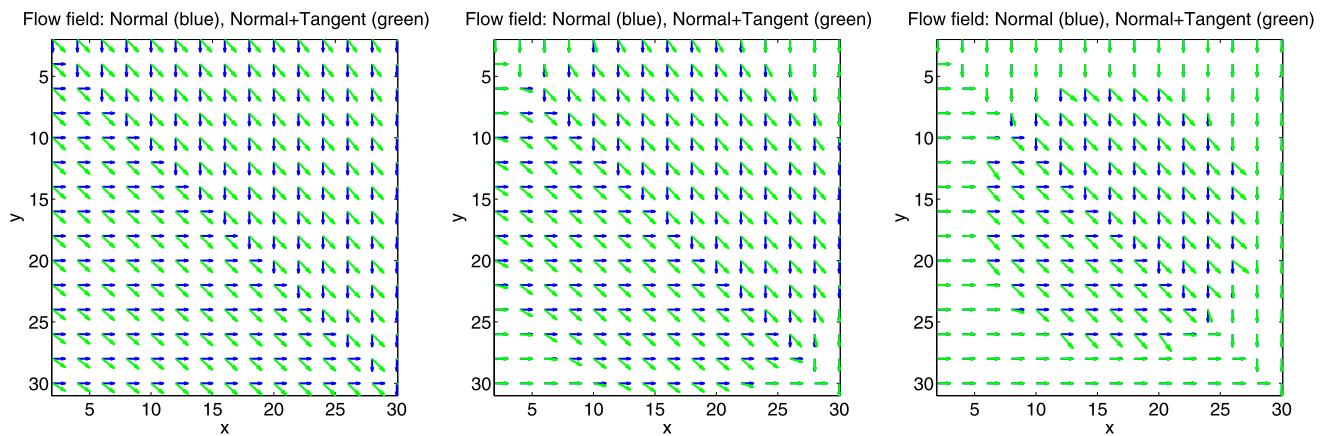
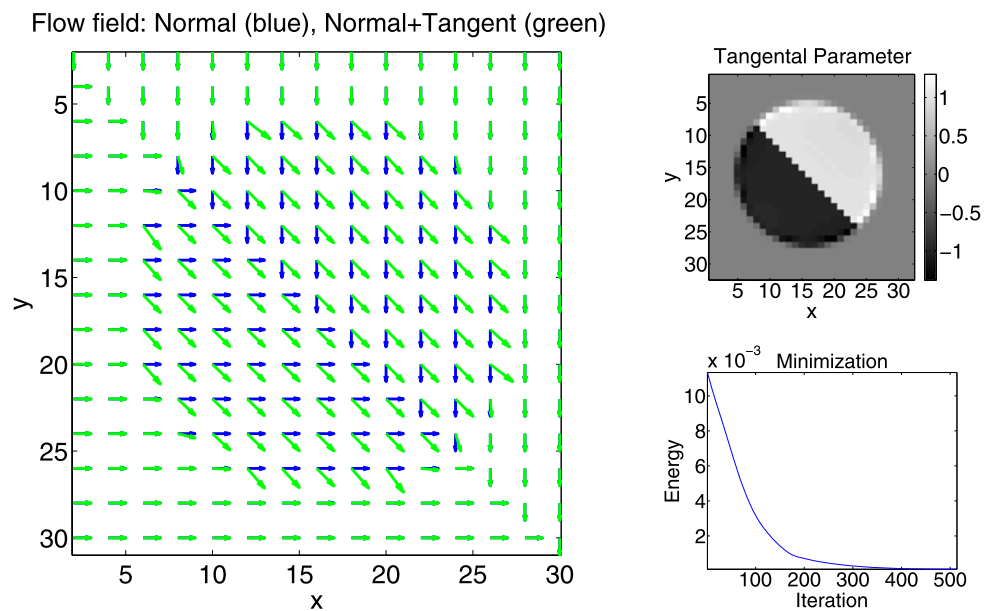
Various values of the regularization constant  $c$  on the singular line problem is shown in Fig. 7. Large values of  $c$  implies that the resulting vector field is close to the original normal field, while low values allow for the gradient term to be dominating.



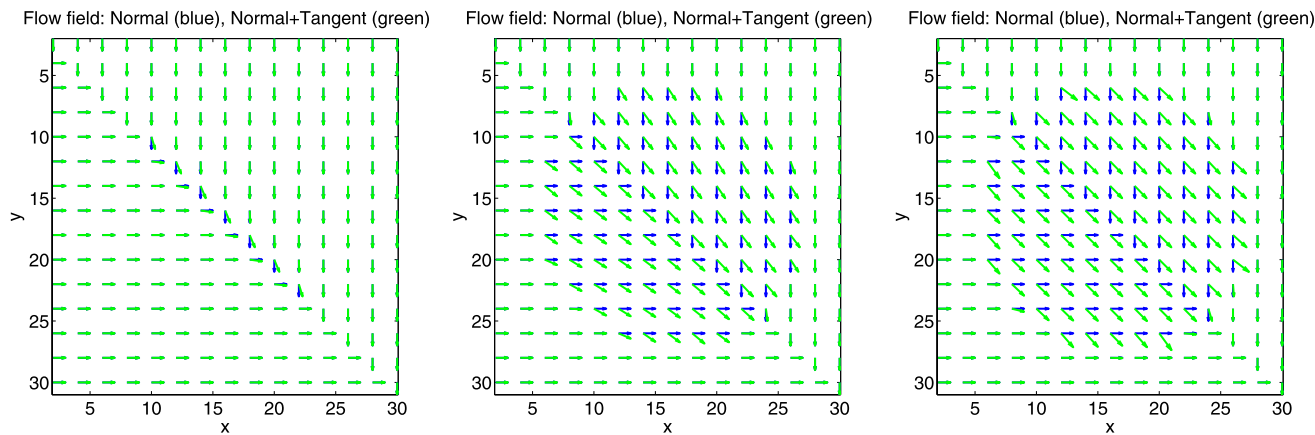


**Fig. 4** (Color online) Different normal flows and their minimum for Gradient type functionals. *Left and middle* are gradient fields of a second degree polynomial for a minimum and a saddle respectively, and *right* shows a curl field. We have used  $c = 0.01$  and  $n = 4$

**Fig. 5** (Color online) Minimizing Ridge normal flow with gradient type operators. *Left* shows the original and resulting flow, and *right* shows the resulting tangential parameter, and the energy evolution during minimization

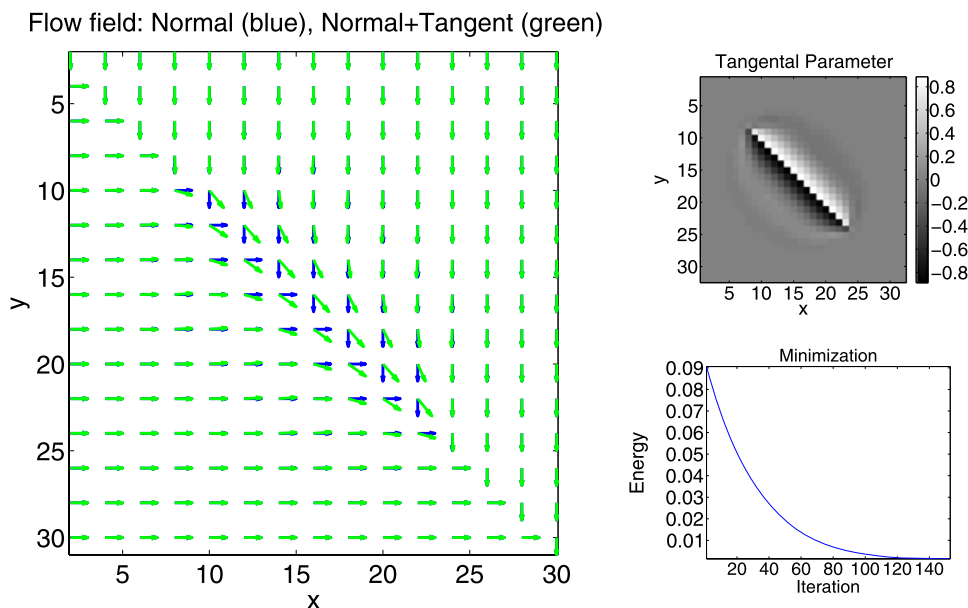


**Fig. 6** (Color online) Result of varying exponent in Gaussian type window. From *left to right*:  $n = 1, 2, 4$



**Fig. 7** (Color online) Result of varying regularization constant  $c$ . From left to right:  $c = 1, 0.1, 0.01$

**Fig. 8** (Color online) Minimizing Ridge normal flow with Laplace type operators. Left shows the original and resulting flow, and right shows the resulting tangential parameter, and the energy evolution during minimization



6.2 Laplace Type

We have defined a regularized Laplacian type by two independent operators,

$$\mathcal{L}_0 V(x, y) = cV(x, y), \tag{36}$$

$$\mathcal{L}_1 V(x, y) = \Delta V(x, y), \tag{37}$$

where  $c > 0$ , which by (14) was seen to be equivalent to

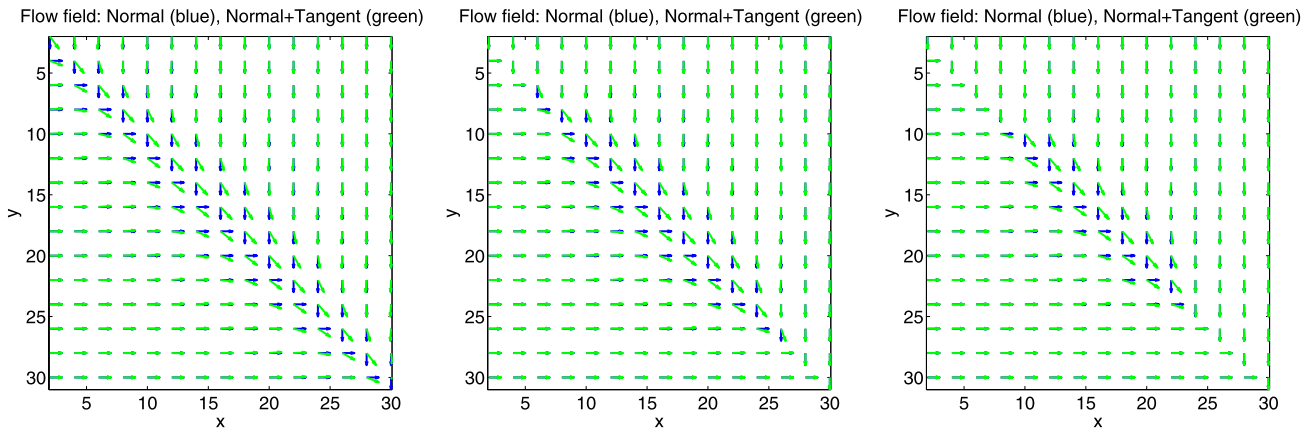
$$\begin{aligned} \mathcal{K}V(x, y) &= c^2 w(x, y)V(x, y) \\ &\quad + \Delta(w(x, y)\Delta V(x, y)) \end{aligned} \tag{38a}$$

$$\begin{aligned} &= c^2 w(x, y)V(x, y) + \Delta w(x, y)\Delta V(x, y) \\ &\quad + 2(\nabla_x \Delta V(x, y)) \nabla_x w(x, y) \\ &\quad + w(x, y)\Delta \Delta V(x, y). \end{aligned} \tag{38b}$$

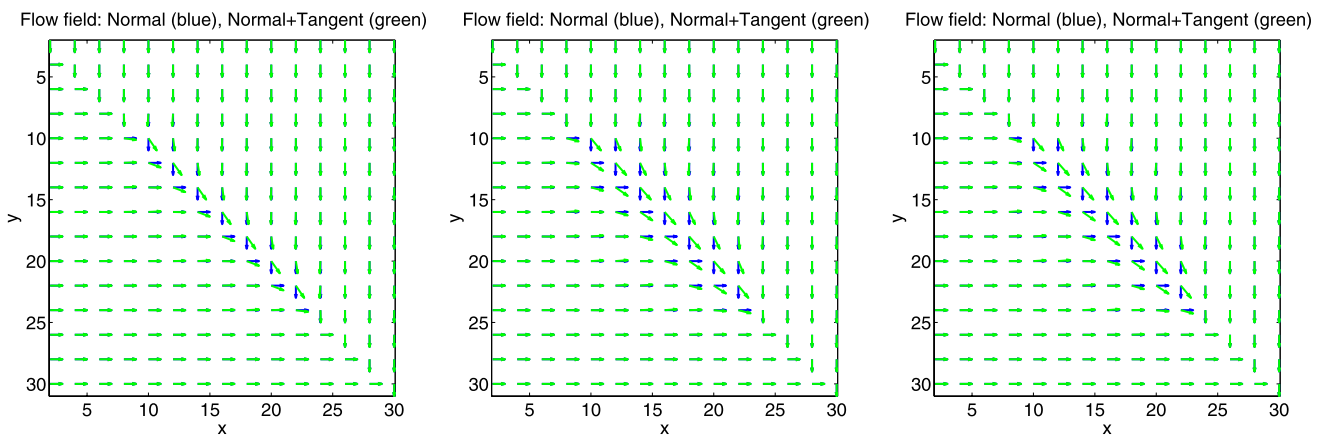
Using the same argument as from the Gradient type operator we conclude, that when  $c \rightarrow 0$  and  $n \rightarrow \infty$ , then  $\mathcal{K}V(x, y) \rightarrow w(x, y)\Delta \Delta V(x, y)$  almost everywhere, and this is zero for vector fields of degree smaller than four. The effective border condition is again found at  $x^2 + y^2 = \sigma^2$ .

Low order normal vector fields are invariant under the Laplace type functionals as shown algebraically above, and solutions by our program on gradient fields of a second degree polynomial for a minimum, saddle respectively, and curl field for  $c = 0.01$  and  $n = 4$  are identical to Fig. 4. The Laplace type operators allows for more smoothly varying flow fields as shown on the singular line field in Fig. 8. In these experiments we have used  $n = 6$  and  $c = 0.01$ . The influence of the window exponent on the singular line example is shown in Fig. 9. Compared to the Gradient type operators, these fields are more smoothly varying. The dependency on





**Fig. 9** (Color online) Result of varying exponent in Gaussian type window. From left to right:  $n = 1, 2, 4$



**Fig. 10** (Color online) Result of varying regularization constant  $c$ . From left to right:  $c = 1, 0.1, 0.01$

the regularization constant  $c$  on the singular line problem is shown in Fig. 10.

### 7 Conclusion

Several problems in Computer Vision and Graphics involve normal flows. The aperture problem in optical flow dictates that only the motion parallel to the isophote normal is observable in video sequences. However, the normal flow is a projection of the physical flow onto the isophote normals and may be far from the projection of the physical flow onto the image. The latter being the important information in tracking applications etc. Likewise, shape-morphing is typically only concerned with shape deformations, and thus only expressed in the shape normals. However, since many shapes in computer graphics have textures, the warped texture will be unnatural and difficult to control in this manner.

In this paper we have extended the formalism for regularizing normal flows by an energy minimization technique on the inner product of spatial operators on the flow field. We have given a thorough mathematical description to great generality, we have presented a very simple, iterative, and parabolic-type algorithm, and we have proven convergence for this algorithm in the continuous setting.

The partial differential equations developed are not defined in points, where the normal flow vanishes. One solution could be to use the window function to mask out regions of vanishing normal flows. However, in the examples we have studied, we have not needed such interventions, which we attribute to the usual stability of parabolic schemes. Future work include, investigating examples in higher dimensional domains, producing coarse to fine continuation schemes to improve convergence rates, benchmark the method against existing optical flow algorithms, and further studies into the space of useful operators for computer vision and graphics problems.

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